

Counterparty Registration Form

Section A. Approval as a New Counterparty

Entity (please tick respective Entity you will be counterparty to)			
<input type="checkbox"/> QatarEnergy Marketing (QEM)	<input type="checkbox"/>	<input type="checkbox"/> QatarEnergy	Other Party(ies):
<input type="checkbox"/> QatarEnergy LNG Marketing (QELM)	<input type="checkbox"/>		
<input type="checkbox"/> QatarEnergy Trading	<input type="checkbox"/> Physical Counterparty		
	<input type="checkbox"/> Financial Counterparty		
	<input type="checkbox"/> Both		

Section B. Counterparty Information

B.1	Full legal entity name:																										
B.2	Trading name (if different):																										
B.3	Registered address: <small>Street address, and/or Postal Code or P.O. Box (as applicable), City, County/State/Region (as applicable), Country</small>																										
B.4	Main place of business, trading or branch address:																										
	<i>Include correspondence address, if different from the above</i>																										
B.5	Country/State of incorporation:																										
B.6	Date of incorporation:																										
B.7a	Company registration number:																										
B.7b	Company registration expiry date:		<input type="checkbox"/> Indefinite	<input type="checkbox"/> Definite																							
B.8	Company website (if applicable):																										
B.9	Core business activity/ies:																										
B.10	Business type (please tick):		<table><tr><td><input type="checkbox"/> Trader</td><td><input type="checkbox"/> Broker</td><td><input type="checkbox"/> Agent</td><td><input type="checkbox"/> Financial Institution</td><td><input type="checkbox"/> Service Provider</td><td><input type="checkbox"/> NOC</td><td><input type="checkbox"/> Manufacturer</td><td><input type="checkbox"/> Distributor</td><td><input type="checkbox"/> Other</td></tr><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>							<input type="checkbox"/> Trader	<input type="checkbox"/> Broker	<input type="checkbox"/> Agent	<input type="checkbox"/> Financial Institution	<input type="checkbox"/> Service Provider	<input type="checkbox"/> NOC	<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Distributor	<input type="checkbox"/> Other									
<input type="checkbox"/> Trader	<input type="checkbox"/> Broker	<input type="checkbox"/> Agent	<input type="checkbox"/> Financial Institution	<input type="checkbox"/> Service Provider	<input type="checkbox"/> NOC	<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Distributor	<input type="checkbox"/> Other																			
B.11	Jurisdiction(s) in which the company operates and/or principal place(s) of business: <i>(Please list)</i>																										
B.12	Has the company changed its corporate name in the past 5 years? <i>If yes, please provide details:</i>		<input type="checkbox"/> Yes																								
			<input type="checkbox"/> No																								
B.13	Has the company been re-incorporated or domiciled in a different jurisdiction in the past 5 years? <i>If yes, please provide details:</i>		<input type="checkbox"/> Yes																								
			<input type="checkbox"/> No																								

B.14	Has the company been subject to a major reorganization (e.g. merger, spin-off, bankruptcy proceedings) in the past 5 years? <i>If yes, please provide details:</i>	Yes	
		No	
B.15	Physical performance (Summary of transactions performed in relation to oil and /or petrochemical business)		
B.16	Quality, Health, Safety, Security and Environment (QHSSE). (Existence of QHSSE Policy)		
B.17	Business Principles & Reputation.		
B.18	Growth and regional/ target market or location.		
B.19	Risk profile. (Existence of Risk Profile policy or guideline)		
B.20	Major Assets. (Terminals, Storage, Refineries, and Tankers)		
B.21	Production Capacity (in terms of E/P).		

Section C. Corporate Structure

C.1	Corporate form (please tick as appropriate):					
	Public Company*	Private Company	Partnership	State or Govt Entity	Individual	Other (please specify)
	* If Publicly-traded company – please specify exchange where shares are listed and market:					

C.2	Management – Directors, Officers and Key Managers Please provide the following information for all directors (incl. Chairman) and officers of the company and key managers. Officers shall include, at least, the chief executive officer (or similar), chief financial officer and/or president (or similar), chief operations officer (or similar), and general counsel (or similar):				
	Full Name (Individual(s))	Role	Citizenship/ Nationality	Country of Residence	Date of birth

C.3 Direct Ownership

Please provide details of all direct owners, shareholders (institutional or individual) or holders of the voting rights of the Company or names of any individual(s) who otherwise exercise control over the management of the Company.

For publicly traded companies, please provide details of all shareholders who hold (legally or beneficially) 5% or more of the company's shares or voting rights:

Company Name (Entity/ies)	Percentage Ownership	Country of Incorporation	Date of Incorporation

Full Name (Individual(s))	Percentage Ownership	Citizenship Nationality	Country of Residence	Date of birth

C.4 Ultimate Beneficial Ownership

If one or more of the direct owners is a company (see C.3) such as the immediate parent company, hierarchical parent company or ultimate parent company), list the shareholders of this company, up to and including the Ultimate Beneficial Owners and the names of any intermediate entities or individuals owning an interest in that company. Ultimately, we require all beneficial owners of all shareholding entities to the level of either individuals and/or publicly traded companies.

For publicly traded companies, please provide details of all shareholders who hold (legally or beneficially) 5% or more of the company's shares or voting rights:

Please provide a certified ownership diagram up to Ultimate Beneficial Owner(s).

Please provide the details for every level of shareholding, up to and including the Ultimate Beneficial Owners.

Company Name (Entity/ies)	Percentage Ownership	Country of Incorporation	Date of Incorporation	If indirect ownership, please specify intermediaries

Full Name (Individual/s)	Percentage Ownership	Citizenship/ Nationality	Country of Residence	Date of birth	If indirect ownership, please specify intermediaries

C.5 Subsidiaries

Please provide a list of all subsidiaries:

Company Name (Entity/ies)	Percentage Ownership	Country of Incorporation	Date of Incorporation

C.6	Financial Information		
	Type of Information	Current Year (Estimated) (in US\$)	Last Year (in US\$)
	Sales / Revenue		
	Net Profit / Loss		
	Net Worth		
	Authorized capital		
	Paid Up Capital		

Section D. Counterparty Background Information

Definition	
For this Section D, "Affiliate" includes:	
<ul style="list-style-type: none"> i. any parent of the company; ii. any company or partnership in which the company or any parent of the company, directly or indirectly owns or controls, fifty percent (50%) or more of the ownership interest – having the right to vote or appoint its directors or functional equivalents; and iii. any joint venture in which the company, and any parent, or a company meeting the requirements above has day-to-day operational control. 	

D.1	Has the company had any previous contracts with, or was supplier/service provider, or customer to QEM, QatarEnergy Trading, QatarEnergy LNG Marketing, QatarEnergy or its Affiliates? <i>If yes, please provide details:</i>	Yes No	
D.2	Is the company planning to subcontract any part of obligations/contract, or partner in any way with third party with respect to the company's dealings with QEM, QatarEnergy Trading, QatarEnergy LNG Marketing, QatarEnergy or its Affiliates? <i>If yes, please provide details:</i>	Yes No	
D.3	Is the company planning to use agent(s), broker(s), intermediary/ies, representative(s) or any other third party/ies acting on its behalf in relation to or involving dealings with QEM, QatarEnergy Trading, QatarEnergy LNG Marketing, QatarEnergy or its Affiliates? <i>If yes, please provide details:</i>	Yes No	

Section E. Compliance & Regulatory

Definition	
For this Section E, "Affiliate" includes:	
<ul style="list-style-type: none"> i. any parent of the company; ii. any company or partnership in which the company or any parent of the company, directly or indirectly owns or controls, fifty percent (50%) or more of the ownership interest – having the right to vote or appoint its directors or functional equivalents; and iii. any joint venture in which the company, and any parent, or a company meeting the requirements above has day-to-day operational control. 	

E.1	Is the Company or any of its Affiliates organized, existing, incorporated, or operating under the laws of a jurisdiction currently under comprehensive sanctions by the United Nations (UN), United States (U.S.), European Union (EU) and its Member States, and the United Kingdom (UK) (including, but not limited to, Crimea, Cuba, Iran, North Korea, or Syria)?	Yes
		No

If yes, please complete the information below:

Company Name (Entity/ies)	Percentage Ownership	Country of Incorporation	Date of Incorporation

E.2	Is the Company, or any of its Affiliates, owners, officers, or directors identified on:	Yes
	1. United Nations (UN) Security Council Consolidated List; or 2. U.S. Office of Foreign Assets Control (OFAC) <u>Specially Designated National</u> ("SDN") List; or 3. U.S. OFAC Sectoral Sanctions Identifications (SSI) List; or 4. U.S. Commerce Department's <u>Denied Persons List</u> and <u>Entity List</u> (eCFR, Supplement No. 4 to Part 744 – Entity List); or 5. EU <u>Consolidated Financial Sanctions List</u> ; or 6. UK <u>Consolidated List of Financial Sanctions targets</u> ?	No

If yes, please complete the information below:

Company Name (Entity/ies)	Percentage Ownership	Country of Incorporation	Date of Incorporation

Full Name (Individual(s))	Ownership	Citizenship/Nationality	Date of birth

E.3.	In the past 5 (five) years, has the company , or any entity with an ownership interest in the company or its Affiliates, (1) been charged with or investigated (either criminal or civil) by an enforcement or regulatory agency; or (2) been a defendant in private litigation, related to any of the following in the below table?	Yes
		No

If yes, please indicate in the below table:

1.	Bribery/corruption	
2.	Sanctions violations	
3.	Import/export control violations	
4.	Competition/anti-trust law violations	
5.	Money laundering	
6.	Fraud	
7.	Insider Trading / Market Abuse	
8.	Tax Law violations	
9.	Human trafficking	
10.	Organized crime	
11.	Other financial or ethical charge	
12.	In their capacity as shareholders, any criminal matter	

If the answer above is yes, please provide details of the relevant entity, investigation, enforcement or litigation action, including how it was resolved below:

E.4.

In the past 5 (five) years, has (i) any **individual** with an ownership interest in the Company, or (ii) any other individual listed in response to Sections A and B (collectively, "Individual"): (1) been charged with or investigated (either criminal or civil) by an enforcement or regulatory agency; or (2) in their capacity as owners, officers, directors, or key managers of the Company, been a defendant in private litigation, related to any of the following in the below table?

Yes

No

If yes, please indicate in the below table:

1.	Bribery/corruption	
2.	Sanctions violations	
3.	Import/export control violations	
4.	Competition/anti-trust law violations	
5.	Money laundering	
6.	Fraud	
7.	Insider Trading / Market Abuse	
8.	Tax Law violations	
9.	Human trafficking	
10.	Organized crime	
11.	Other financial or ethical charge	
12.	In their capacity as shareholders, any criminal matter	

If the answer above is yes, please provide details of the relevant individual, investigation, enforcement or litigation action, including how it was resolved below.

Note: Please do not provide personal information with respect to the individuals who has been charged with or investigated (either criminally or civil) by an enforcement or regulatory agency, if both: (i) the individual has his/her residence in European Area (including European Economic Area, UK and Switzerland), and (ii) the activity has been committed, or the proceedings/investigation is carried out in European Area (including European Economic Area, UK and Switzerland)

E.5.

Has Corporation/Company or any of Corporation/Company's owners, officers, directors or key personnel ever been suspended, excluded or debarred from government contracting, or had any license revoked because of illegal or corrupt conduct?

Yes

No

If any of the above is yes, please provide details of the relevant individual and the (alleged) illegal or corrupt conduct.

E.6.	Do any of Corporation/Company's owners, officers, directors or key executives or their immediate family members work for or have a beneficial interest in QatarEnergy or any Affiliates of QatarEnergy?	Yes No
	<i>If yes, please elaborate/explain:</i>	
	Do any QatarEnergy employees or their immediate family members work for or have a beneficial interest in the Corporation/Company to your knowledge?	Yes No
	<i>If yes, please elaborate/explain:</i>	
	Has Corporation/Company ever served as or is Corporation/Company presently a consultant or contractor to a current or prospective customer of QatarEnergy or any of its Affiliates?	Yes No
	<i>If yes, please elaborate/explain:</i>	
	Does Corporation/Company currently employ any individual previously employed by a current or prospective customer of QatarEnergy or any of its Affiliates?	Yes No
<i>If yes, please elaborate/explain:</i>		
Will such an individual currently or formerly employed by a current or prospective customer of QatarEnergy or any of its Affiliates be engaged in an activity on Corporation/Company's behalf relating to your relation with QatarEnergy or any of its Affiliates?	Yes No	
Does Corporation/Company currently employ any individual previously employed by QatarEnergy or any of its Affiliates?	Yes No	
<i>If yes, please elaborate/explain:</i>		

E.7.	Have you engaged any agents, brokers or other representatives who would receive a commission, reward or percentage-based fee related to any potential commercial arrangement between you and QatarEnergy (or any of QatarEnergy's Affiliates)?	Yes No
	<i>If yes, please provide details:</i>	
	Are you aware of any business or payment arrangements between any of your employees, officers, directors, shareholders or agents (acting in their personal capacity), and any employee, officer, director, shareholder or agent of QatarEnergy or of its Affiliates?	Yes No
<i>If yes, please provide details:</i>		

E.8.	Does the Company have a compliance program?	Yes No
	<i>If yes, please provide details:</i>	

Section F. Banking Details

		Currency 1	Currency 2	Currency 3
F.1	Principal Bank Name:			
F.2	SWIFT Code:			
F.3	Bank Number – ABA Code / CHIPS Code / SORT Code / NIB Code / SIC Code / IFSC Code / Bank routing number (<i>as applicable</i>):			
F.4	Bank Branch Address:			
F.5	Bank Country:			
F.6	Beneficiary/Account Name:			
F.7	Currency of Account:			
F.8	Bank Account No/ IBAN:			
F.9	Bank/Account Clerk Contact (<i>Name, email, phone</i>):			
F.10	Intermediary/Correspondent Bank Details (<i>if applicable</i>):			
F.11	Source of Funds: Sales Proceeds/Services Rendered/ Trust/Fund/ Other			
F.12	Any other currencies to be registered? If yes, please indicate here and provide supporting bank letter for each.			

Section G. Key Contacts and References

G.1	Please provide contact information as follows:			
	Name	Title	Email	Phone
Primary contact for Registration form				
Finance/ Settlements				
Contracts				
Compliance/Legal				

G.2	Please provide details of two Individuals and two banks who can act as counterparty referees:	
<i>Business Referees</i>		
a.	<i>Name and contact details of business references (customers or vendors)</i>	
b.	<i>Name and contact details of business references (customers or vendors)</i>	
<i>Bank Referees</i>		
a.	<i>Name and contact details of bank references (banks with which the counterparty conducts business)</i>	
b.	<i>Name and contact details of bank references (banks with which the counterparty conducts business)</i>	

Section H. Declaration on the type/s of products interested to purchase and the names of target market. *(Detailed Explanation)*

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Section I. Manufacturing Information COMPLETE for Polymers, Chemicals & Fertilizers only.

I.1	Marketing Information (Chemicals, Polymers & Fertilizers)				
Industry		Payment Type		LMO Office	
No. of Manufacturing Sites		Payment Terms		Discharge Port	
No. of Storage Facilities		Incoterms		Final Destination	

I.2	Manufacturing Information (Chemicals, Polymers, & Fertilizers)			
Make	No. of Machines	Capacity / KG Hour	Capacity MT / Month	Actual Production MW / Month

I.3	Storage Information (Chemicals, Polymers, & Fertilizers)					
Product	Grade	Storage Facility	Production Facility / Capacity	Existing Supply Sources	Tonnage Sold	Markets Served

I.4	SHIP TO PARTY INFORMATION <i>(fill only if different from 'Sold to' Party)*</i> * If more than one Ship-To party, please provide the information in a separate sheet.					
Ship to Name						
Address						
PO Box		City		Postal Code		
Country		TIN / VAT / IEC				
Customer Category		Industry				
Contact Person for Transactions		Designation				
Telephone		Fax		Mobile		
Email Address		Website				
Nature of Business						

Section J. Chartered Vessels (and other vessels).

J.1	Identification – Vessel	
	1. Vessel name/IMO	
	a. IMO number <i>(7 digits)</i>	
	b. Vessel Name: <i>(Full legal entity name in English)</i>	
	c. Flag: <i>(Insert Country)</i>	
	d. Cargo type:	

J.2	Nominating Customer – Corporation/Company	
	1. Nominating Customer	
	a. Full legal entity name:	
	b. Country of registration	

J.3	Vessel Ownership – Corporation/Company	
	1. Registered Owner	
	a. Full legal entity name:	
	b. Country of registration	
	2. Commercial Operator	
	a. Full legal entity name:	
	b. Country of registration	
	3. Technical Operator	
	a. Full legal entity name:	
	b. Country of registration	
	4. Disponent Owner	
	a. Full legal entity name:	
b. Country of registration		

J.4	Other nominated/involved Third Parties – Corporation/Company <i>(applicable to Chartered Vessels only)</i>	
	1. Shipping Agent(s)	
	a. Full legal entity name:	
	b. Country of registration	
	c. Please list the Shipping Agent Services provided:	
	2. Broker(s)	
	a. Full legal entity name:	
	b. Country of registration	
	c. Please list the Brokerage Services provided:	
	3. Other intermediaries	
	a. Full legal entity name:	
	b. Country of registration	
	c. Please list the Intermediaries' Services provided:	

Section K. Additional Documentation

Required Document Included:		
Valid Commercial Registration or Certificate of Incorporation	YES	NO
Certified authorized signatory list – Signed certification (<i>If not provided in commercial registration</i>)	YES	NO
Certified ownership diagram up to Ultimate Beneficial Owner	YES	NO
Last three years audited financial statements	YES	NO
Bank reference and certification letter (details in Section F)	YES	NO

Potential Additional Document Included:		
VAT Registration Certificate (<i>Where applicable</i>)	YES	NO
Other (<i>Specify</i>):		

Section L. Counterparty acknowledgement

I certify that the information provided is, to the best of my knowledge and belief, accurate, current, and complete, and I have reviewed the above provided information and certify that the foregoing information is true and accurate.

Counterparty acknowledgment

Name	Signature
Title	Full Legal Entity Name (if applicable)
Date	
Company Stamp (if available)	

Section M. Counterparty comments *(if any)*